

# Galois Theory of the Essential Extensions of an R-MODULE

Chiu-Wen Leu.

Introduction: Every ring  $R$  contains an identity element  $1$  and every right  $R$ -module  $M_R$  is unitary right  $R$ -module (simply,  $R$ -module). If  $N_R$  is a submodule of the  $R$ -module  $M_R$ , we use the symbol  $N_R \subseteq' M_R$  to denote  $M_R$  is an essential extension of  $N_R$ .

The purpose of this paper is to exploit the analogy between algebraic extension of fields and essential extension of  $R$ -modules. In this analogy, the role of the algebraic closure of a field is played by the injective hull  $H(M)$  of the  $R$ -module  $M_R$  and that of a polynomial is played by an ideal  $R$ -homomorphism  $f: I_R \rightarrow M_R$ , where  $I$  is a right ideal of  $R$ . The process of solving the equation  $p(x)=0$  in the field  $F$  or in an algebraic extension of  $F$  will be replaced by the process of extending an ideal  $R$ -homomorphism to an  $R$ -homomorphism  $f: R_R \rightarrow M_R$  from  $R$  into  $M_R$  or into an essential extension of  $M_R$ .

Definiton 1. An ideal  $R$ -homomorphism  $f: I_R \rightarrow M_R$  where  $I$  is a right ideal of  $R$  is called irreducible iff  $f$  cannot be extended to an ideal  $R$ -homomorphism  $f: K_R \rightarrow M_R$  where  $K$  is a right ideal of  $R$  properly containing  $I$ .

R. Baer (1) proved that an  $R$ -module  $M_R$  is injective if and only if for every right ideal  $I$  of the ring  $R$  and for any element  $f \in \text{Hom}_R(I_R, M_R)$  there exists an irreducible ideal  $R$ -homomorphism  $f^* \in \text{Hom}_R(R_R, M_R)$  given by  $f^*(r) = f^*(1)r, \forall r \in R$  such that the following diagram commutes; that is,  $f^* \circ j = f$ , where  $j: I \rightarrow R$  is the canonical injection.

$$\begin{array}{ccccc}
 0 & \longrightarrow & I_R & \xrightarrow{j} & R_R & \text{(exact)} \\
 & & \downarrow f & & \swarrow f^* & \\
 & & & & & M_R
 \end{array}$$

It is easily seen that if  $M_R$  is an injective  $R$ -module, the  $R$ -homomorphism  $f^*$  is completely determined by the element  $f^*(1)$  which is in  $M_R$  for if  $f^*(1) = x$ , then  $f^*(r) = f^*(1)r = xr$ , for all  $r \in R$ .

Galois Theory of the Essential Extensions of an R-module.

In general, if  $M_R$  is not injective, the element  $f^*(1)$  need not be in  $M_R$ , but in some essential extension of  $M_R$ , for example, in the injective hull of the R-module  $M_R$ . Thus, we have the following definition.

**Definition 2.** Let  $f \in \text{Hom}_R(I_R, M_R)$  be an ideal R-homomorphism and let  $f^* \in \text{Hom}_R(R, M_R)$  be the extension of  $f$ . Any element of the form  $x = f^*(1)$  in some essential extension  $S_R$  of  $M_R$  is said to be a root of  $f$  in  $S_R$ .

In this terminology, we can restate the R. Baer's theorem as follows: an R-module  $M_R$  is injective if and only if every ideal R-homomorphism into  $M_R$  has a root in  $M_R$ .

**Proposition 1.** If  $x$  and  $y$  are roots of an ideal R-homomorphism  $f \in \text{Hom}_R(I_R, M_R)$ , where  $I$  is a right ideal of  $R$ , then  $(x-y)I = 0$ .

**Proof:** Let

$f^*$  and  $f$  be extensions of  $f$  such that  $f^*(1) = x$  and  $f(1) = y$ . Thus, for any element  $a \in I$ , we have  $(x-y)a = x a - y a = f^*(1)a - f(1)a = f^*(1a) - f(1a) = f(a) - f(a) = 0$ . Hence  $(x-y)I = 0$ . Q. E. D.

For each  $x \in H(M)$ , the injective hull of  $M_R$ , then we construct a nonzero right ideal  $I_x = \{r \in R \mid x r \in M_R\}$ . This is the first result of the following proposition.

**Proposition 2.** (a)  $I_x$  is a nonzero right ideal of  $R$ .

(b). Let the function  $f_x : I_x \rightarrow M_R$  be defined by  $f_x(i) = x i, \forall i \in I_x$ , then  $f_x$  is irreducible.

(c). Let the function  $g : I \rightarrow M_R$  be any ideal R-homomorphism having  $x$  as a root. Then  $I \subseteq I_x$  and  $f_x|_I = g$ .

**Proof:**

(a). We show first that  $I_x$  is a right ideal of  $R$ . To do this, for any  $m, n \in I_x$ , then  $x m = 0$  and  $x n = 0$ . Thus

$x(m+n) = x m + x n = 0 + 0 = 0$ . This shows that  $m+n \in I_x$ . Moreover, for any  $m \in I_x$  and  $r \in R$ , we have  $x(m r) = (x m) r = 0 r = 0$ . Hence  $m r \in I_x$ . Therefore,  $I_x$  is a right ideal of  $R$ .

Next, we show that  $I_x \neq 0$ . It is trivial in case  $x = 0$ . So we may assume that  $x \neq 0$ . Since  $H(M)$  is the injective hull of  $M_R$ , it is an essential extension of  $M_R$ . Hence, by Proposition 1.2.1. (see the author's master thesis), there

exists  $0 \neq r \in R$  such that  $0 \neq x r \in M_R$ . This shows that  $r \in I_x$ . Hence  $I_x \neq 0$ .

(b). Suppose that there exists an ideal  $R$ -homomorphism  $h: K \rightarrow M_R$  properly extending  $f_x$ , where  $K$  is a right ideal of  $R$  properly containing  $I_x$ ;  $I_x \subsetneq K$ . For any  $y \in K - I_x$ ,  $y \in K$  and  $y \notin I_x$ . Since  $y \notin I_x$ ,  $x y \notin M_R$ . Thus  $h(y) - x y \neq 0$ . Since  $H(M)$  is an essential extension of  $M_R$ , there exists  $0 \neq r \in R$  such that  $0 \neq (h(y) - x y) r \in M_R$ . That is,  $0 \neq h(y) r - x y r \in M_R$ . Since  $h(y) \in M_R$ ,  $h(y) r \in M_R$ . Hence  $h(y) r - (h(y) r - x y r) \in M_R$ ; that is,  $x y r \in M_R$ . Hence  $x y \in I_x$ . But then  $(h(y) - x y) r = h(y) r - x y r = h(y) r - f_x(y r) = f_x(y r) - f_x(y r) = 0$ , a contradiction. Hence  $f_x$  is irreducible.

(c). Let  $g^*$  be an extension of  $g$  such that  $g^*(1) = x$ . Then  $g^*(i) = g(i)$ ,  $\forall i \in I$ . Thus,  $g^*(i) = g^*(1 i) = g^*(1) i = x i = g(i)$ . Hence  $x i = g(i)$ ,  $\forall i \in I$ . So  $x I = g(I) \subseteq M_R$ . This implies that  $I \subseteq I_x$ . Furthermore, for each  $i \in I$ ,

$$g(i) = g^*(i) = x i = f_x(i). \text{ Hence } g^* = f_x \text{ extending } g. \quad \text{Q. E. D.}$$

The function  $f_x: I_x \rightarrow M_R$  defined by  $f_x(i) = x i$ ,  $\forall i \in I_x$  in Proposition 2 will be called the irreducible ideal  $R$ -homomorphism of  $x$  over  $M_R$ . This is the analog of the minimum polynomial of an element of an algebraic field extension.

Definition 3. (2) Let  $x, y \in H(M)$ . Then  $x$  and  $y$  are said to be conjugate over  $M_R$  if there exists an  $M$ -automorphism  $\varphi$  of  $H(M)$  ( $\varphi: H(M) \rightarrow H(M)$  is an automorphism with  $\varphi(m) = m$ ,  $\forall m \in M_R$ ) such that  $\varphi(x) = y$ .

Proposition 3. Let  $x$  and  $y$  be elements of  $H(M)$  which are roots of the same irreducible ideal  $R$ -homomorphism over  $M_R$ . Then the mapping  $\Psi: M + xR \rightarrow M + yR$  defined by  $\Psi(m + x r) = m + y r$ , for  $m \in M_R$ ,  $r \in R$  is an isomorphism of  $M + xR$  onto  $M + yR$ .

Proof:

The mapping  $\Psi$  is well-defined, because if  $m + x r = 0$ , then  $x r = -m \in M_R$ , and hence  $r \in I_x$ . Since  $x$  and  $y$  are roots of the same irreducible ideal  $R$ -homomorphism over  $M_R$ . By Proposition 1 we have  $(x - y) I_x = 0$ . Since  $(x - y) r \in (x - y) I_x$ ,  $(x - y) r = 0$  or  $x r = y r$ . Hence  $0 = m + x r = m + y r$ . This shows that  $\Psi$  is well-defined.

Clearly,  $\Psi$  is onto. Finally, we show that  $\Psi$  is one-one. If

$$\Psi(m + x r) = 0, \text{ then } m + y r = 0. \text{ Thus } y r = -m \in M_R \text{ and hence } r \in I_y.$$

Since  $x$  and  $y$  are conjugate over  $M_R$ ,  $I_x = I_y$ . Thus, by Proposition 1, we have  $(x-y)I_x = O$ . Hence  $(x-y)r \in (x-y)I_x = O$ , so  $xr = yr$ . Thus,  $m + xr = m + yr = O$ . This shows that  $\Psi$  is one-one. Hence  $\Psi$  is an isomorphism of  $M + xR$  onto  $M + yR$ .

**Proposition 4.** Let  $x$  and  $y$  be elements of  $H(M)$ . Then  $x$  and  $y$  are conjugate over  $M_R$  if and only if  $x$  and  $y$  are roots of the same irreducible ideal R-homomorphism over  $M_R$ .

**Proof:** Suppose that  $x$  and  $y$  are roots of the same irreducible ideal R-homomorphism over  $M_R$ . Then, by Proposition 3, the mapping  $\varphi: M + xR \rightarrow M + yR$  defined by

$\varphi(m + xr) = m + yr$  for all  $m \in M_R$  and all  $r \in R$  is an isomorphism of  $M + xR$  onto  $M + yR$ . Since  $H(M)$  is injective, and  $M + xR$  and  $M + yR$  are essential extensions of  $M_R$ , we have, by Eckmann and Schopf's theorem(3), can be extended to an isomorphism  $\Phi: H(M) \rightarrow H(M)$ . Thus  $\Phi(x) = y$  and  $\Phi(m) = m$ ,  $\forall m \in M_R$ . Hence  $x$  and  $y$  are conjugate over  $M_R$ . The converse part of the proof is trivial since there exists an  $\Phi \in \text{Hom}_R(H(M), H(M))$  such that  $\Phi(x) = y$ .

**Definition 4.** Let  $S_R$  be such that  $M_R \subseteq S_R \subseteq H(M)$ . Then the set of all M-automorphisms of  $S_R$  is called the Galois group of  $S_R$  over  $M_R$  and is denoted by  $G(S/M)$ .

**Definition 5.** A submodule  $S_R$  of  $H(M)$  is called the splitting R-module over  $M_R$  of a collection of ideal R-homomorphisms  $f_i: I_i \rightarrow M_R$  (each  $I_i$  is a right ideal of  $R$ ), if it is generated by  $M_R$  and all the roots in  $H(M)$  of the given ideal R-homomorphisms  $f_i$ .

If a R-module  $S_R \subseteq H(M)$  is a splitting R-module over  $M_R$  of a collection  $\{f_i\}$  of ideal R-homomorphisms of  $I_i$  into  $M_R$ , then it is obvious that  $S_R = M + xR$  where  $x = \sum f_i^*(1)$  and the summation extends over all possible extensions  $f_i^*$  of  $f_i$ .

**Proposition 5.** Let  $S_R$  be such that  $M_R \subseteq S_R \subseteq H(M)$ . Then  $S_R$  is a splitting R-module over  $M_R$  of a collection of ideal R-homomorphisms into  $M_R$  if and only if every M-automorphism of  $H(M)$  maps  $S_R$  onto itself (that is, for each  $\varphi \in G(H(M)/M)$ ,  $\varphi(S) = S$ ) and thus induces an M-automorphism of  $S_R$ .

**Proof:** (i). Suppose that  $S_R$  is a splitting R-module over  $M_R$  of a collection

of  $\{f_i\}$  of ideal  $R$ -homomorphisms  $f_i: I_i \rightarrow M_R$ . Let  $x_i$  be a root of  $f_i$ , by Proposition 4, for each  $\varphi \in G(H(M)/M)$ ,  $\varphi(x_i)$ , being a conjugate of  $x_i$ , is a root of  $f_i$  and hence  $\varphi(x_i) \in S_R$ . Thus  $\varphi(S) \subseteq S_R$ . Using the same argument apply to the inverse function  $\varphi^{-1} \in G(H(M)/M)$ , we have  $\varphi^{-1}(S) \subseteq S_R$ . Hence  $\varphi(S) = S_R$ . (ii). Suppose, conversely, that every  $\varphi \in G(H(M)/M)$  induces an  $M$ -automorphism of  $S_R$ . We show first that if  $f: I \rightarrow M_R$  is an irreducible ideal  $R$ -homomorphism having a root in  $S_R$ , then all roots of  $f$  are also in  $S_R$ . To do this, let  $x$  and  $y$  be roots of  $f$  with  $x \in S_R$ . By Proposition 4,  $x$  and  $y$  are conjugate over  $M_R$  and hence there exists an  $M$ -automorphism  $\Psi \in G(H(M)/M)$  such that  $\Psi(x) = y$ . Then  $\Psi$  induces an  $M$ -automorphism of  $S_R$ , so  $\Psi(x) = y \in S_R$ . Next, for each  $x \in S_R$ , let  $f_x$  be the irreducible ideal  $R$ -homomorphism of  $x$  over  $M_R$ . Then, by the above argument,  $S_R$  is the splitting  $R$ -module over  $M_R$  of the collection

$$\{f_x \mid x \in S_R\}. \quad \text{Q. E. D.}$$

Proposition 5 says that any splitting  $R$ -module over  $M_R$  is stable relative to  $M_R$  and  $H(M)$ . (4).

Corollary 1. Let  $S_R$  be such that  $M_R \subseteq' S_R \subseteq H(M)$ . Then  $S_R$  is a splitting  $R$ -module over  $M_R$  of a collection of ideal  $R$ -homomorphisms into  $M_R$  if and only if  $S_R \subseteq' E_R \subseteq H(M)$  implies  $\varphi(S) = S_R$ , for each  $\varphi \in G(E/M)$ .

Proof: Suppose that  $S_R$  is a splitting  $R$ -module over  $M_R$  of a collection of ideal  $R$ -homomorphisms into  $M_R$ . Let  $\varphi \in G(E/M)$ . Then, since  $S_R \subseteq' E_R$ ,  $M_R \subseteq' E_R$  and hence  $H(E) = H(M)$ . By Eckmann and Schopf's theorem,  $\varphi$  can be extended to an  $M$ -automorphism  $\Psi$  of  $H(M)$ . Since  $S_R$  is a splitting  $R$ -module over  $M_R$ , by Proposition 5,  $\Psi(S) = S_R$ . Hence  $\varphi(S) = S_R$ .

The proof of the converse part of the theorem is just the same as part (ii) of Proposition 5.

Q. E. D.

Proposition 6. Let  $S_R$  and  $N_R$  be splitting  $R$ -modules of  $M_R$  such that  $M_R \subseteq' N_R \subseteq' S_R \subseteq H(M)$ . Then

- (i).  $G(S/N)$  is a normal subgroup of  $G(S/M)$ .
- (ii).  $G(S/M) / G(S/N) \cong G(N/M)$ .

Proof: For  $\sigma \in G(S/M)$ , since  $N_R$  is a splitting  $R$ -module of  $M_R$ , by

Proposition 5,  $\sigma$  induces an M-automorphism  $\sigma_N \in G(N/M)$ . The mapping  $\Phi: G(S/M) \rightarrow G(N/M)$  defined by  $\Phi(\sigma) = \sigma_N$  for  $\sigma \in G(S/M)$  is obviously an R-homomorphism. Then the kernel of  $\Phi$  is clearly  $G(S/N)$ . Hence  $G(S/N)$  is a normal subgroup of  $G(S/M)$ . To show that  $\Phi$  is also onto. For any  $\sigma_N \in G(N/M)$ , since  $M_R \subseteq N_R$ ,  $\sigma_N$  can be extended to an M-automorphism

$\varphi \in G(H(M)/M)$ . By Proposition 5, since  $S_R$  is a splitting R-module over  $M_R$ ,  $\varphi$  induces an M-automorphism  $\sigma \in G(S/M)$  and  $\Phi(\sigma) = \sigma_N$ . Hence  $\Phi$  is onto. Thus

$$G(S/M) / G(S/N) \cong G(N/M).$$

Q. E. D.

### REFERENCES

- (1). R. Baer: Abelian Groups which are direct summands of every containing group, Proc. Amer. Math. Soc., 46 (1940) 800-806.
- (2). J. Goldhaber & G. Ehrlich: Algebra, The Macmillan Company.
- (3). B. Eckmann and A. Schopf: Über Injektive Moduln, Arch. der Math., 4 (1953) 75-78.
- (4). I. Kaplansky: Fields and Rings, the University of Chicago Press.
- (5). J. Fraleigh: A First Course in Abstract Algebra, Addison-wesley Publishing Company.
- (6). A. Rosenberg and D. Zelinsky: Finiteness of the Injective Hull, Math. Z., 70 (1959) 372-380.
- (7). E. Matlis: Injective Modules over Prufer Rings, Nagoya Math. J., 15 (1959) 57-69.
- (8). G. D. Findlay and J. Lambek: A Generalized Ring of Quotients I, II, Canad. Math. Bull. 2 (1958) 77-85, 155-167.